

07-15-04

2131

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|------------------------|-------------------------|
| Application Number | 10/620,960 |
| Filing Date | July 16, 2003 |
| First Named Inventor | James C. Peterson |
| Group Art Unit | 2131 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 009785-0139 (15895US01) |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO 1449/08A with references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks | | Revocation of Prior Power(s) of Attorney and New Power of Attorney |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-------------------------|-------------------------------|-----------------------------------|---------------------|
| Firm or Individual Name | McAndrews Held & Malloy, Ltd. | | |
| Name (Print/type) | Joseph M. Barish | Registration No. (Attorney/Agent) | 42,291 |
| Signature | | | Date: July 14, 2004 |

EXPRESS MAIL DEPOSIT

"Express Mail" mailing label number : EV 164035141 US
Date of Deposit July 14, 2004.



Attorney Docket No. 009785-0139 (15895US01)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: James C. Peterson

Serial No.: 10/620,960

For: Method for Strongly Encrypting .ZIP Files

Examiner: Unassigned

Group Art Unit: 2131

Filed: July 16, 2003

EV 164035141 US

Express Mail No.

July 14, 2004

Date

**REVOCATION OF PRIOR POWER(S) OF ATTORNEY
AND NEW POWER OF ATTORNEY**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

As the assignee of the entire interest of the above-identified U.S. patent application, the undersigned hereby:

- (1) states that a copy of the Assignment for the above-identified U.S. patent application was submitted for recordation in the U.S. Patent and Trademark Office on December 16, 2003 (a copy of the assignment, the recordation form cover sheet, and the filing receipt are enclosed);
- (2) revokes all powers of attorney previously given;

- (3) appoints U.S. attorneys and/or agents at McAndrews, Held & Malloy, Ltd. (Chicago, IL), Customer No. 23,446, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

The address and telephone number of each of whom is McAndrews, Held & Malloy, Ltd., 500 West Madison Street, 34th Floor, Chicago, Illinois 60661, (312) 775-8000, as its attorneys with full power of substitution and revocation to transact all business in the U.S. Patent and Trademark Office connected with the above-identified patent.

Please direct telephone calls to Joseph M. Barich at (312) 775-8000. Please direct facsimiles to Joseph M. Barich at (312) 775-8100. Please direct email transmissions to jbarich@mhmlaw.com.

Please address correspondence to:

Joseph M. Barich
McAndrews, Held & Malloy, Ltd.
500 West Madison Street, Suite 3400
Chicago, Illinois 60661

Executed this 12th day of July, 2004.

PKWARE, INC.

BY: Timothy J. Kohler

PRINTED NAME: Timothy J. Kohler

TITLE: CFO + Secy



Ser./Pat. No. 10/1020,960 File No. 009785-0139 Atty. NAHC
Title Method For Strongly Encrypting .ZIP Files
Inventor(s) James C. Peterson

Following was received in the U. S. Patent and Trademark Office on the date shown on the stamp affixed hereto.

- | | |
|--|---|
| <input type="checkbox"/> Amendment | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Amendment After Final Rejection | <input type="checkbox"/> Request for Continued Examination |
| <input type="checkbox"/> Application | <input type="checkbox"/> Issue Fee Transmittal |
| <u> </u> Specification (Sheets), <u> </u> Claims | <input type="checkbox"/> Letter |
| <u> </u> (Sheets) | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Declaration for Utility or Design Patent | <input type="checkbox"/> Petition |
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Sequence Listing (<u> </u> Sheets) | <input type="checkbox"/> Response |
| <input checked="" type="checkbox"/> Check No. <u>166365</u> For \$ <u>784.00</u> | <input checked="" type="checkbox"/> Power of Attorney |
| <input type="checkbox"/> (Continuation)(Divisional)(CIP) Application | <input type="checkbox"/> Status Request |
| <input type="checkbox"/> Request to Rescind Non-publication Request | <input checked="" type="checkbox"/> <u>Transmittal Form</u> |
| <input type="checkbox"/> Drawings <u> </u> (Sheets) | <input checked="" type="checkbox"/> <u>Fee Transmittal for FY 2004</u> |
| <input checked="" type="checkbox"/> <u>Recordation Form Cover Sheet</u> | <input checked="" type="checkbox"/> <u>Notice to File Missing Parts</u> |
| <input checked="" type="checkbox"/> <u>Statement Under 37 CFR 3.73(b)</u> | <input checked="" type="checkbox"/> <u>postcard</u> |

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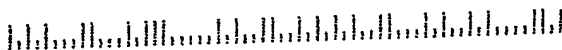
Date 12/16/03 Sender S. Marchello



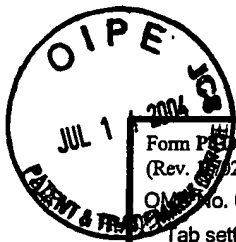
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Form PTO-1595
(Rev. 09/02)

QPL No. 0651-0027 (exp. 6/30/2005)

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RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James C. Peterson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 12/02/2003

2. Name and address of receiving party(ies)

Name: PKWare, Inc.

Internal Address: _____

Street Address: 9025 North Deerwood Drive

City: Brown Deer State: WI Zip: 53223

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/620,960

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nicholas A. Kees

Internal Address: Godfrey & Kahn, S.C.

Street Address: 780 N. Water Street

City: Milwaukee State: WI Zip: 53202

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Signature.

Nicholas A. Kees

Name of Person Signing

Signature

12/16/03
Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, I, James C. Peterson residing at N64 W14495 Poplar Drive, Menomonee Falls, Wisconsin 53051, U.S.A., (hereinafter referred to as ASSIGNOR), have made a new and useful invention for which I have filed an application for Letters Patent of the United States on July 16, 2003, entitled: METHOD FOR STRONGLY ENCRYPTING .ZIP FILES and given application number 10/620,960;

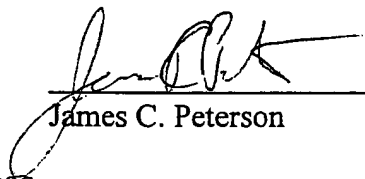
AND WHEREAS, PKWare, Inc., a Wisconsin corporation, located and doing business at 9025 North Deerwood Drive, Brown Deer, Wisconsin 53223, U.S.A., (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to, and under said invention and in, to, and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns, and transfers to ASSIGNEE the full and exclusive right, title, and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal.


James C. Peterson

December 2, 2003
Date